

**BUCKLEY, MASCHOFF & TALWALKAR LLC**

50 Locust Avenue  
New Canaan, CT 06840  
tel (203) 972-0006  
fax (203) 972-7627

**RECEIVED**  
**CENTRAL FAX CENTER**

**APR 23 2007**

**FACSIMILE TRANSMITTAL SHEET**

TO: Central Facsimile Number for Official Patent-Related Correspondence (Examiner Subramanian, Narayanswamy)	FROM: Randolph P. Calhoun
COMPANY: U.S. Patent and Trademark Office	DATE: April 23, 2007
FACSIMILE NOS 1-571-273-8300	TOTAL NO. OF PAGES INCLUDING COVER: 14
RE: Application Serial No.: 09/812,627 First Named Inventor: LAWRENCE, David - Group Art Unit: 3624 - Docket No.: G08.124	SENDER'S TELEPHONE No: 203-972-5985

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent Trademark Office to facsimile no. (571) 273-8300 on April 23, 2007.

By: /RPC/  
Randolph P. Calhoun

This transmission may contain information from Buckley, Maschoff & Talwalkar LLC that is confidential and/or legally privileged. Such information is intended only for the use of the individual or entity to whom this transmission is addressed. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this information is strictly prohibited, and that any printed copies of this transmission should be returned to this firm. If you have received this transmission in error, please notify us by telephone or e-mail immediately (holme@bmtpatent.com), and we will arrange for the return to Buckley, Maschoff & Talwalkar LLC of any printed copies at no cost to you.

**RECEIVED  
CENTRAL FAX CENTER****APR 23 2007**Patent**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: LAWRENCE et al.

Application Serial No.: 09/812,627

Filing Date: March 20, 2001

For: AUTOMATED GLOBAL RISK  
MANAGEMENT

Confirmation No.: 1330

Group Art Unit: 3628

Examiner: Subramanian, Narayanswamy

**RESPONSE TRANSMITTAL**

Attorney Docket No.: G08.124

PTO Customer Number 28062  
Buckley, Maschoff & Talwalkar LLC  
50 Locust Avenue  
New Canaan, CT 06840CERTIFICATE OF FACSIMILE UNDER 37 CFR 1.8I hereby certify that this correspondence is being facsimile  
transmitted to the U.S. Patent and Trademark Office at facsimile  
numbers (571) 273-8300 on April 23, 2007.Dated: April 23, 2007 By: /RPC/  
Randolph P. CalhounMail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

1. ☒ Amendment/Response to Non-Final Office Action mailed February 22,  
2007

RECEIVED  
CENTRAL FAX CENTER

APR 23 2007

Application Serial No.: 09/812,627  
Attorney Docket No.: G08.124

**FEE CALCULATION**

For	Current	Prev. Paid	No. Extra	Rate	Fee
Total Claims	21	- 28	0	\$ 50.00	\$ 0.00
Indep. Claims	3	- 5	0	\$ 200.00	\$ 0.00
Multiple Dependent Claims (add \$300.00 if applicable)					\$ 0.00
No Petition for Extension of Time is Required					\$ 0.00
OTHER FEE (specify purpose):					\$ 0.00
<b>TOTAL FEE</b>					<b>\$ 0.00</b>

The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1852 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

April 23, 2007  
Date

/RPC/  
Randolph P. Calhoun  
Registration No. 45,371  
Buckley, Maschoff & Talwalkar LLC  
50 Locust Avenue  
New Canaan, CT 06840  
(203) 972-5985

RECEIVED  
CENTRAL FAX CENTER

APR 23 2007

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: LAWRENCE et al.

Application No.: 09/812,627

Filing Date: 3/20/2001

For: AUTOMATED GLOBAL RISK  
MANAGEMENT

) Confirmation No.: 1330  
)  
) Group Art Unit: 3628  
)  
) Examiner: Subramanian, Narayanswamy  
)  
) **AMENDMENT and RESPONSE to**  
) **February 22, 2007 Final Office Action**  
)  
) Attorney Docket No.: G08.124  
)  
) **PTO Customer Number 28062**  
) **Buckley, Maschoff & Talwalkar LLC**  
) **50 Locust Avenue**  
) **New Canaan, CT 06840**  
)

## CERTIFICATE OF FACSIMILE UNDER 37 CFR 1.8

I hereby certify that this correspondence is being facsimile  
transmitted to the U.S. Patent and Trademark Office at facsimile  
numbers (571) 273-8300 on April 23, 2007.

Dated: April 23, 2007 By: /RPC/  
Randolph P. Calhoun

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Non-Final Office Action mailed September 8, 2006, please  
amend the above-identified application as follows:

**Amendments to the claims** are reflected in the listing of claims that begins on  
page 2 of this paper.

**Remarks** begin on page 7 of this paper.